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## FCA Handbook - FCA Handbook

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### [PDF] [FSA Handbook: Guidance on the Glossary of definitions ...](#)

<https://www.fca.org.uk/publication/handbook/glossary136.pdf>

Guidance on the Glossary of definitions The rules and guidance for interpreting the **Handbook** are to be found in GEN 2 (Interpreting the **Handbook**)

### [PDF] [FSA Retail Product Development and Governance - â€¦](#)

<https://www.fca.org.uk/publication/finalised-guidance/fg12-09.pdf>

**Financial Services Authority** Finalised guidance **Financial Services Authority** Page 1 of 41 Retail Product Development and Governance â€œ Structured Product

### [PDF] [The Non-Investment Products Code](#)

[www.bankofengland.co.uk/markets/Documents/forex/fxjsc/nipscode1111.pdf](http://www.bankofengland.co.uk/markets/Documents/forex/fxjsc/nipscode1111.pdf)

The **Financial Services Authority's** (the **FSA**) **Handbook** contains rules and guidance potentially relevant to conduct in the wholesale markets in investment products ...

### [Pension Switches and Transfers - facts - Pruadviser](#)

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**COBS** requirements. **COBS** has detailed requirements which must be followed before a pensions transfer specialist can advise on a transfer. The firm has to;

### [Understanding Solvency II | Global law firm | Norton Rose ...](#)

[www.nortonrosefulbright.com/.../62032/understanding-solvency-ii](http://www.nortonrosefulbright.com/.../62032/understanding-solvency-ii)

Solvency II will radically change the supervision of insurers and reinsurers across Europe. Under the Solvency II Framework Directive, existing insurance directiv...

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4 B. Defaqt Q&A review Once the fact-finding and risk profiling processes have been completed it is vital, as a next step, to fully appraise the features and ...

### [Proposals to Enhance the Obligations of Advisers, Dealers ...](#)

[www.osc.gov.on.ca/â€¦/33-404/Security\\_Foundation-33-404](http://www.osc.gov.on.ca/â€¦/33-404/Security_Foundation-33-404)

Proposed Targeted Reforms. Description of Proposed Targeted Reforms. Consultation Questions. Conflicts of Interest -- General obligation. Part 13 of NI 31-103 would ...